



116
PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Attorney Docket No.: 104385.132)

Applicant(s): Hongsheng Su, et al.

Serial No.: 09/766873 Examiner: To Be Assigned

Filed: January 19, 2001 Group Art Unit: To Be Assigned

For: FLAVOBACTERIUM HEPARINUM EXPRESSION SYSTEM

Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE UNDER 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

May 3, 2001
Date

[Signature]
Anthony W. Sutton

TRANSMITTAL LETTER

Sir:

Enclosed for filing are the following documents:

- 1.) Information Disclosure Statement including:
 - a.) Form PTO-1449;
 - b.) 3 References; and
- 2.) Return Postcard.

Please charge any deficiency or credit any overpayment in connection with this matter to Deposit account No. 08-0219.

Respectfully submitted,

Date: May 3, 2001

Hollie L. Baker
Hollie L. Baker
Registration No. 31,321

Hale and Dorr LLP
60 State Street
Boston, Massachusetts 02109
Tel: (617) 526-6110
Fax: (617) 526-5000

sutton anthony Z:\Legal\104385.132\ds-trans.wpt\wind.doc



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Attorney Docket No.: 104385.132)

Applicant(s): Hongsheng Su, et al.

Serial No.: 09/766873 Examiner: To Be Assigned

Filed: January 19, 2001 Group Art Unit: To Be Assigned

For: FLAVOBACTERIUM HEPARINUM EXPRESSION SYSTEM

Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE UNDER 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

May 3, 2001
Date of Signature

[Signature]
Anthony W. Sutton

INFORMATION DISCLOSURE STATEMENT

Commissioner:

Applicant and his legal representatives are aware of the following publications and information listed on the attached PTO Form 1449, and in accordance with 37 CFR §1.97 hereby submit these publications for the Examiner's consideration.

It is respectfully requested that the information above be expressly considered during the prosecution of this application and that the publications be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In this regard, it is requested that the Examiner initial and return a copy of the enclosed Form PTO-1449 with the next Patent Office Communication.

This submission does not represent that a search has been made and does not constitute an admission that the listed documents are material to patentability or that the listed documents are prior art. If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the Officer the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

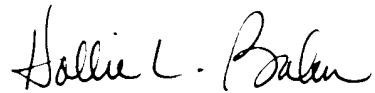
09/766,873

Hongsheng Su, et al.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits and is therefore submitted as both timely and proper; therefore, no fees are believed to be due. However, the Commissioner is authorized to charge any fee deficiency or credit any overpayment to Deposit Account No. 08-0219.

Respectfully submitted,

Date: May 3, 2001



Hollie L. Baker
Hollie L. Baker
Registration No. 31,321

Hale and Dorr LLP
60 State Street
Boston, Massachusetts 02109
Tel: (617) 526-6110
Fax: (617) 526-5000